

Format of holding of specified securities

- 1 Name of Listed Entity: **Bal Pharma Limited**
- 2 Scrip Code : **524824** / Name of Scrip : **BALPH** / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: **Reg. 31(1)(b)**
 - a. If under 31(1)(b) then indicate the report for Quarter ending **31st December, 2018**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

(I) Category	(II) Category of shareholder	(III) Nos. of share holders	(IV) No. of fully paid up equity shares held	(V) No. of Partly paid-up equity shares held	(VI) No. of shares underlying Depository Receipts	(VII) = (IV)+(V)+ (VI) Total nos. shares held	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IX) Number of Voting Rights held in each class of securities				(X) No. of Shares Underlying Outstanding convertible securities (including Warrants)	(XI) = (VII)+(X) Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class eg: X	Class eg: y	Total								
								(a)	(b)	(a)				(b)				
(A)	Promoter & Promoter Group	12	60,16,482	0	0	6016482	42.45	0	0	0	0.00	0	42.45	0	0.00	0	0.00	
(B)	Public	11,764	81,55,890	0	0	8155890	57.55	0	0	0	0.00	0	57.55	0	0.00	NA	NA	60,16,482
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	78,97,872
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total	11776	14172372	0	0	14172372	100.00	0.00	0.00	0.00	0.00	0	100.00	0	0.00	0	0.00	13914354



Table I - Statement showing shareholding pattern of the Promoter and Promoter Group																					
Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form						
								(X)								(X) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
								No of Voting Rights Class X	Class Y	Total							No. As a % of total Shares held (a)	No. As a % of total shares held (b)	No. As a % of total shares held (a)	No. As a % of total shares held (b)	
(1) Indian																					
(a) Individuals/Hindu undivided Family																					
(i) Shailesh Siroya	AWIP55665B	1	13,45,459	0	0	1345459	9.49	0	0	0	0.00	0	0	0	13,45,459						
(ii) Anita Siroya	AWIP55664A	1	10,49,220	0	0	1049220	7.40	0	0	0	0.00	0	0	0	10,49,220						
(iii) Anand Surana	AMGP55511A	1	5,25,686	0	0	525686	3.71	0	0	0	0.00	0	0	0	5,25,686						
(iv) Dilip Surana	AFKP50253G	1	4,74,994	0	0	474994	3.35	0	0	0	0.00	0	0	0	4,74,994						
(v) Archana Surana	AISP53067N	1	2,84,996	0	0	284996	2.01	0	0	0	0.00	0	0	0	2,84,996						
(vi) Monica Surana	AFKP50254B	1	1,79,909	0	0	179909	1.27	0	0	0	0.00	0	0	0	1,79,909						
(vii) Dilip Surana	AABHD0248N	1	1,69,995	0	0	169995	1.20	0	0	0	0.00	0	0	0	1,69,995						
(ix) Subbarao Prasanna	ABLPP7396J	1	20,200	0	0	20200	0.14	0	0	0	0.00	0	0	0	20,200						
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(d) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(e) Bodies Corporate																					
(i) Micro Labs Limited	AABCM2131N	1	13,10,836	0	0	1310836	9.25	0	0	0	0.00	0	0	0	13,10,836						
Sub-Total (A)(1)		9	5361295	0	0	5361295	37.83	0	0	0	0.00	0	0	0	5361295						
(2) Foreign																					
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(e) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0						
(f) Bodies Corporate																					
(i) Chandraprakash Dheerajmal Siroya	AAOP58235P	1	4,86,420	0	0	486420	3.43	0	0	0	0.00	0	0	0	4,86,420						
(ii) Anita Chandraprakash Siroya	AAOP58180P	1	1,22,687	0	0	122687	0.87	0	0	0	0.00	0	0	0	1,22,687						
(iii) Jivi Dheerajmal Siroya	AAOP58181N	1	46,080	0	0	46080	0.33	0	0	0	0.00	0	0	0	46,080						
Sub-Total (A)(2)		3	655187	0	0	655187	4.62	0	0	0	0.00	0	0	0	655187						
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		12	6016482	0	0	6016482	42.45	0	0	0	0.00	0	0	0	6016482						
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																					
Note:																					
(1) PAN would not be displayed on website of Stock Exchange(s).																					
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																					



Table III - Statement showing shareholding pattern of the Public shareholder

(I) Category & Name of the Shareholders	(II) PAN	(III) Nos. of shareholder	(IV) No. of fully paid up equity shares held	(V) Partly paid-up equity shares held	(VI) Nos. of shares underlying Depository Receipts	(VII) Total nos. shares held	Shareholding % calculated as per SCRR, 1957	(IX) Number of Voting Rights held in each class of securities			(X) No. of Shares Underlying convertible securities (including Warrants)	(XI) Number of Locked in shares		(XII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form										
																	(VII) = (IV)+(V)+(VI)	As a % of (A+B+C2) (VII)	(IX)			(X)	(XI)		(XII)	
																			No of Voting Rights	Total as a % of Total Voting rights	No. (not applicable)		As a % of total shares held (Not applicable)			
																								Class X	Class Y	Total
(1) Institutions																										
(a) Mutual Funds/UTI		1	200	0	0	200	0.00	0	0	0	0	0	0.00	NA			0									
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(e) Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(f) Financial Institutions/ Banks		4	24,839	0	0	24,839	0.18	0	0	0	0	0	0.00	NA			0									
(g) Insurance Companies		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			23,039									
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
Sub-Total (B)(1)		5	25,039	0	0	25,039	0.18	0	0	0	0	0	0.00	NA			23,039									
(2) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(3) Non-Institutions																										
(a) Individuals -																										
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		11,170	36,47,570	0	0	36,47,570	25.74	0	0	0	0	0	0.00	NA			34,11,210									
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		15	17,15,952	0	0	17,15,952	12.11	0	0	0	0	0	0.00	NA			17,15,952									
(i) VPUL KUMAT	AFXP9448L	1	4,00,000	0	0	4,00,000	2.82	0	0	0	0	0	0.00	NA			4,00,000									
(ii) SHREYA MARDIA	DAWPS8044B	1	3,01,500	0	0	3,01,500	2.13	0	0	0	0	0	0.00	NA			3,01,500									
(iii) JITENDRA TEJRAJ LUNIA	AAAPL3253C	1	3,00,900	0	0	3,00,900	2.12	0	0	0	0	0	0.00	NA			3,00,900									
(iv) NARESH D P	AAFPN5891G	1	3,00,000	0	0	3,00,000	2.12	0	0	0	0	0	0.00	NA			3,00,000									
(b) NBFCs registered with RBI		3	5,430	0	0	5,430	0.04	0	0	0	0	0	0.00	NA			5,430									
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(d) Overseas Depositories (holding DRs)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(e) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
(i) Bodies Corporate		0	85	1,39,610	0	1,39,610	0.99	0	0	0	0	0	0.00	NA			1,33,552									
(ii) HUF		0	233	2,67,994	0	2,67,994	1.89	0	0	0	0	0	0.00	NA			2,67,994									
(iii) Non Resident		0	127	20,69,116	0	20,69,116	14.60	0	0	0	0	0	0.00	NA			20,69,116									
(i-a) RAM KESHAV MURTHY	AKLPM2043A	1	5,84,000	0	0	5,84,000	4.12	0	0	0	0	0	0.00	NA			5,84,000									
(i-b) PRAKASH CHANDRA JAIN	AHAFJ4798F	1	5,84,270	0	0	5,84,270	4.10	0	0	0	0	0	0.00	NA			5,84,270									
(i-c) ASHA TAPIDAS DODHIA	BRPPD7013M	1	3,00,000	0	0	3,00,000	2.12	0	0	0	0	0	0.00	NA			3,00,000									
(i-d) GAUTAMCHAND MEHTA	AAHPM4819Q	1	2,31,000	0	0	2,31,000	1.63	0	0	0	0	0	0.00	NA			2,31,000									
(ii) Clearing Members		0	126	2,85,179	0	2,85,179	2.01	0	0	0	0	0	0.00	NA			2,85,179									
(iii) Limited Liability Partnership - LLP		0	0	0	0	0	0.00	0	0	0	0	0	0.00	NA			0									
Sub-Total (B)(3)		0	11,759	81,30,851	0	81,30,851	57.38	0	0	0	0	0	0.00	NA			78,74,833									
Total Public Shareholding																										
(B) = (B)(1)+(B)(2)+(B)(3)		11,764	81,55,890	0	0	81,55,890	57.55	0	0	0	0	0	0.00	NA			78,97,872									

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)	
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not appli-cable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total											
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name of DR Holder (if available)																					
(i)	abc,...																					
(ii)	efg,...																					
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name (abc,...																					
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

