

Format of holding of specified securities

- 1 Name of Listed Entity: **Bal Pharma Limited**
- 2 Scrip Code : **524824** / Name of Scrip : BALPH / Class of Security : **Equity**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(b)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31st March, 2017
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
																		(IX)	
								No of Voting Rights					Total as a % of (A+B+C)	(XI)= (VII)+(X) As a % of (A+B+C2)	No.	As a % of total Shares held		No.	As a % of total Shares held
								Class eg: X	Class eg:y	Total									
(A)	Promoter & Promoter Group	14	6285802	0	0	6285802	44.35	0	0	0	0.00	0	44.35	600000	9.55	0	0.00	6285802	
(B)	Public	10680	7886570	0	0	7886570	55.65	0	0	0	0.00	0	55.65	1300000	16.48	NA		7624058	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
	Total	10694	14172372	0	0	14172372	100.00	0.00	0.00	0.00	0.00	0	100.00	1900000	13.41	0	0.00	13909860	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held
								Class X	Class Y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)									(XIV)					
(1) Indian																				
(a) Individuals/Hindu undivided Family																				
(i) Shailesh Siroya		2	1270759	0	0	1270759	9.87	0	0	0	0.00	0	9.87	600000	47.22	0	0.00	1270759		
(ii) Anita Siroya		1	10,18,540	0	0	1018540	7.91	0	0	0	0.00	0	7.91	0	0.00	0	0.00	1018540		
(iii) Anand Surana		1	5,25,686	0	0	525686	4.08	0	0	0	0.00	0	4.08	0	0.00	0	0.00	525686		
(iv) Dilip Surana		1	4,74,994	0	0	474994	3.69	0	0	0	0.00	0	3.69	0	0.00	0	0.00	474994		
(v) Shrenik D Siroya		1	3,74,700	0	0	374700	2.91	0	0	0	0.00	0	2.91	0	0.00	0	0.00	374700		
(vi) Archana Surana		1	2,84,996	0	0	284996	2.21	0	0	0	0.00	0	2.21	0	0.00	0	0.00	284996		
(vii) Monica Surana		1	1,79,909	0	0	179909	1.40	0	0	0	0.00	0	1.40	0	0.00	0	0.00	179909		
(viii) Dilip Surana		1	1,69,995	0	0	169995	1.32	0	0	0	0.00	0	1.32	0	0.00	0	0.00	169995		
(ix) Subbarao Prasanna		1	20,200	0	0	20200	0.16	0	0	0	0.00	0	0.16	0	0.00	0	0.00	20200		
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d) Any Other (specify)																				
(i) Bodies Corporate																				
(i) Micro Labs Limited		1	13,10,836	0	0	1310836	10.18	0	0	0	0.00	0	10.18	0	0.00	0	0.00	13,10,836		
Sub-Total (A)(1)		11	5630615	0	0	5630615	43.74	0	0	0	0.00	0	43.74	600000	10.66	0	0.00	5630615		
(2) Foreign																				
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(e) Any Other (specify)																				
(i) Bodies Corporate																				
(i) Chandraprakash Dheerajmal Siroya		1	4,86,420	0	0	486420	3.78	0	0	0	0.00	0	3.78	0	0.00	0	0.00	486420		
(ii) Anita Chandraprakash Siroya		1	1,22,687	0	0	122687	0.95	0	0	0	0.00	0	0.95	0	0.00	0	0.00	122687		
(iii) Jivi Dheerajmal Siroya		1	46,080	0	0	46080	0.36	0	0	0	0.00	0	0.36	0	0.00	0	0.00	46080		
Sub-Total (A)(2)		3	655187	0	0	655187	5.09	0	0	0	0.00	0	5.09	0	0.00	0	0.00	655187		
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		14	6285802	0	0	6285802	48.83	0	0	0	0.00	0	48.83	600000	9.55	0	0.00	6285802		

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

(1)	Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								(XII)		(XIII)		
								No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	
	(a)	(b)	(a)	(b)								
(1)	Institutions											
(a)	Mutual Funds/UTI	1	200	0	0	200	0.00	0	0.00	NA		0
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0.00	NA		0
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0.00	NA		0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0.00	NA		0
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.00	0	0.00	NA		0
(f)	Financial Institutions/ Banks	3	19,553	0	0	19553	0.15	0	0.00	NA		17,753
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0.00	NA		0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0.00	NA		0
(i)	Any Other (specify)	0	0	0	0	0	0.00	0	0.00	NA		0
	Sub-Total (B)(1)	4	19,753	0	0	19,753	0.15	0	0.00	NA		17,753
(2)	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0.00	NA		0
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0.00	NA		0
(3)	Non-institutions											
(a)	Individuals -											
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10,374	39,87,456	0	0	3987456	30.98	0	0.00	NA		37,33,002
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	27	34,94,499	0	0	3494499	27.15	0	0.00	NA		34,94,499
	(i) Ram Keshav Murthy	1	5,84,000	0	0	584000	4.54	0	0.00	NA		5,84,000
	(ii) Prakash Chandra Jain	1	5,81,270	0	0	581270	4.52	0	0.00	NA		5,81,270
	(iii) Gautam Chand Mehta	1	2,20,000	0	0	220000	1.71	0	0.00	NA		2,10,000
	(v) Vipul Kumat	1	4,00,000	0	0	400000	3.11	4,00,000	100.00	NA		4,00,000
	(vi) Jitendra Tejraj Lunia	1	3,00,900	0	0	300900	2.34	3,00,000	99.70	NA		3,00,900
	(vii) Naresh D P	1	3,00,000	0	0	300000	2.33	3,00,000	100.00	NA		3,00,000
	(viii) Asha Tapidas Dodhia	1	3,00,000	0	0	3,00,000	2.33	3,00,000	100.00	NA		3,00,000
	(ix) Harsha Sanjay Siroya	1	1,44,395	0	0	1,44,395	1.12	0	0.00	NA		1,44,395
(b)	NBFCs registered with RBI	1	200	0	0	200	0.00	0	0.00	NA		200
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0.00	NA		0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0.00	NA		0
(e)	Any Other (specify)											
	(i) Bodies Corporate	99	1,67,499	0	0	167499	1.30	0	0.00	NA		1,61,441
	(ii) Clearing Members	174	214163	0	0	214163	1.66	0	0.00	NA		214163
	(iii) Limited Liability Partnership - LLP	1	3000	0	0	3000	0.02	0	0.00	NA		3000
	Sub-Total (B)(3)	10,676	78,66,817	0	0	7866817	61.11	0	0.00	NA		76,06,305
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	10,680	78,86,570	0	0	78,86,570	61.27	0	0.00	NA		76,24,058

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form																			
																			(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV) (Not Applicable)	
																											No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not appli-cable)		As a % of total shares held (Not applicable)
																											Class X	Class Y	Total								
(1)	Custodian/DR Holder			0	0	0	0	0.00	0	0	0	0.00	0	0.00				0																			
(a)	Name of DR Holder (if available)																																				
(i)	abc.....																	NA																			
(ii)	efg.....																	NA																			
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)			0	0	0	0	0.00	0	0	0	0.00	0	0.00				0																			
(a)	Name (abc...																	NA																			
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)				0	0	0	0	0.00	0	0	0	0.00	0	0.00				NA																			
Note																																					
(1) PAN would not be displayed on website of Stock Exchange(s).																																					
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																																					
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																																					